

IOWA DEPARTMENT OF EDUCATION BUREAU OF FEDERAL PROGRAMS

2024 Summary of Recommendations and Findings: Example Community School District (ECSD)

On August 1, 2024, the Iowa Department of Education (Department), Bureau of Federal Programs (Bureau), conducted a risk assessment review of selected local educational agencies' implementation of Federal Title Programs under the Elementary and Secondary Education Act of 1965 (ESEA), as amended and reauthorized by the Every Student Succeeds Act (ESSA). The sections below include a summary of that review, recommendations, and findings and required actions (if applicable).

Summary:

As a federal pass-through entity, the Department is required to “evaluate each subrecipient’s risk of noncompliance with federal statutes, regulations, and the terms and conditions of the subaward for purposes of determining the appropriate subrecipient monitoring” (2 CFR § 200.332). This risk-based process is in addition to ongoing monitoring and technical assistance activities (e.g., claim reviews and guidance) designed to support improved grant performance and strengthen subrecipient capacity.

The risk assessment review of Example Community School District (ECSD) shows:

- The district accrued one risk point each in the areas of Compliance and Staffing (criteria 7 and 9, respectively). These two points stemmed from criteria the district may be able to improve in the future: nonpublic consultation agreement and equitable shares, and title program designees.
- The district also accrued three points related to subrecipient Size (criteria 10 and 11). These status-based criteria reflect the potential for risk as the number of a district’s title program awards and total program funds increase.

The 2024 program year risk assessment indicates the **ECSD** risk level is at **Tier 1: Low Risk**, which requires no additional monitoring.

Recommendations:

- Although the department’s analysis shows ECSD is at low risk for noncompliance, the district is encouraged to examine its processes and determine possible ways to improve completion of the annual consultation agreement and expenditure of equitable shares, as well as to promote the consistent assignment of staff as title program designees from year to year.

Findings and Required Actions:

- No findings were identified during this review.

The district is encouraged to contact Teresa Garcia (515-210-6340), teresa.garcia@iowa.gov, Bureau of Federal Programs, if you would like to discuss your risk assessment, the recommendations above, or for guidance on individual title programs.