

Risk Assessment Results

Example Community School District (2024)*

Criteria	Measure	Values	Outcomes	Score
1. Expenditures	<50% current year allocation claimed by 3rd quarter	No=0; Yes=2	No	0
2. Carryover	Forfeited carryover funds	No=0; Yes=1	No	0
3. Citations	≥1 citation	No=0; Yes=1	No	0
4. Unresolved citations	≥1 unresolved citation	No=0; Yes=1	No	0
5. Application and report submissions	≥1 failed to submit or >2 action required	No=0; Yes=1	No	0
6. Claims submissions	≥1 failed to submit or >2 action required	No=0; Yes=1	No	0
7. Nonpublic consultation	Late NP consulting agreement and/or unused equitable shares	No=0; Yes=1	Yes	1
8. Personnel (Administration)	Change in superintendent or SBO	No=0; Yes=1	No	0
9. Personnel (Title programs)	Change in CASA or title program designee	No=0; Yes=1	Yes	1
10. Award amount	a) <\$50,000, b) \$50,000-250,000, or c) >\$250,000	a=1, b=2, c=3	b	2
11. Grant programs	a) <4, b) 4-6, or c) >6	a=1, b=2, c=3	a	1
12. Needs improvement/ Priority	Assigned a Needs Improvement or Priority school rating	No=0; Yes=4	No	0
Tier 1: Low Risk	0-7	Subtotal 1-2: Expenditures		0
		Subtotal 3-7: Compliance		1
Tier 2: Moderate Risk	8-14	Subtotal 8-9: Staffing		1
		Subtotal 10-11: Size		3
Tier 3: High Risk	15-20	Subtotal 12: Performance		0
		TOTAL		5

* Risk assessment is based on prior year data (2022-2023). Criteria on year-to-year change represent 2020-2021 to 2021-2022.